## Chapter 4, Part 2

## The Halting Problem

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For this corollary we need the following fact.
Fact. A language $L$ is decidable if and only if both $L$ and $\bar{L}$ are Turing-recognizable.

Proof of Corollary $A_{\mathrm{TM}}$ is Turing-recognizable and is not decidable. So, $\overline{A_{\mathrm{TM}}}$ is Turing-recognizable
-Corollary

## Proof of Fact

Proof of Fact $\quad[\Rightarrow]$ Let $L$ be decidable and let $M$ be a Turing machine that decides $L$. By swapping $q_{\text {accept }}$ and $q_{\text {reject }}$ of $M$ we get a Turing machine $M^{\prime}$ that decides $\bar{L}$. So both $L$ and $\bar{L}$ are Turing-decidable, and thus, Turing-recognizable.

## Proof of Fact (cont'd)

[ $\Leftarrow$ ] Let $L$ and $\bar{L}$ be recognized by $\mathrm{TMs} M_{1}$ and $M_{2}$, respectively. Define a two-tape machine $M$ that, on input $x$, does the following:

1. $M$ copies $x$ onto Tape 2.
2. $M$ repeats the following until either $M_{1}$ or $M_{2}$ accepts:

- $M$ simulates one step of $M_{1}$ on Tape 1 then one step of $M_{2}$ on Tape 2.

3. $M$ accepts $x$ if either $M_{1}$ accepts $x$ or $M_{2}$ rejects $x ; M$ rejects $x$ if either $M_{2}$ accepts $x$ or $M_{1}$ rejects $x$.

Then $M$ decides $L$ because for every $x$, at least one of of the two machines halts on input $x$.

- Fact


## Diagonalization

A set $S$ is countable if either it is finite or it has the same size as $\mathcal{N}$; i.e., there is a one-to-one, onto correspondence between $S$ and $\mathcal{N}$ (or there is a bijection from $S$ to $\mathcal{N}$ ).

## Simple Facts About the Countable

Let $\mathcal{Q}$ be the set of all positive rational numbers and let $\mathcal{R}$ be the set of all positive real numbers.

Fact. $\mathcal{Q}$ is countable while $\mathcal{R}$ is not.

## Proving the Fact

Proof Each member of $\mathcal{Q}$ is expressed as a fraction $\frac{m}{n}$ such that $m, n \in \mathcal{N}$ and $\operatorname{gcd}(m, n)=1$.

So we have only to come up with a bijection from $\mathcal{N}$ to the set $\left\{\left.\frac{m}{n} \right\rvert\, m, n \geq 1 \& \operatorname{gcd}(m, n)=1\right\}$.

## $\mathcal{Q}$ is countable

We will visit all the grid points in the first quadrant of the $x y$-plane.
For $p=1,2,3, \ldots$, visit the points $(x, y)$ on the line $x+y=p$

$$
(1, p-1),(2, p-2), \ldots,(p-1,1)
$$

and collect only those points at which $x$ and $y$ are relatively prime to each other.

## $\mathcal{Q}$ is countable



The numbers show the visiting order. Number 5 is $(2,2)$ and thus is skipped.

## $\mathcal{R}$ is not countable

Assume, by way of contradiction, that $\mathcal{R}$ is countable. Then the real numbers can be enumerated as $r_{1}, r_{2}, \ldots$.

Define $x$ to be the number between 0 and 1 defined as follows: (*) For each $i \in \mathcal{N}$, the $i$ th digit of $x$ after the decimal point is that of $r_{i}$ plus 1 (modulo 10 ).
For example, if $r_{1}=3 . \underline{14159}, r_{2}=2.2 \underline{3} 606, r_{3}=1.73 \underline{2} 05, \ldots$, then $x=.243 \ldots$,

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For example, if $r_{1}=3 . \underline{1} 4159, r_{2}=2.2 \underline{3} 606, r_{3}=1.73 \underline{2} 05, \ldots$, then $x=.243 \ldots$.

This $x$ is real. By assumption there must exist a $k$ such that $r_{k}$ is $x$. However, by definition, the $k$-th digit of $r_{k}$ is different from that of $x$, a contradiction.

Thus, $\mathcal{R}$ is not countable.

## An Immediate Application of Diagonalization

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## An Immediate Application of Diagonalization (cont'd)

Define $L=\left\{0^{i} \mid M_{i}\right.$ on input $0^{i}$ does not accept $\}$.
There is no machine $M_{k}$ that recognizes $L$. Why?
If there were such a $k$, then we have by definition of $L$

$$
0^{k} \in L \Leftrightarrow M_{k} \text { does not accept } 0^{k} .
$$

However, the latter condition, by the definition of $k$, is equivalent to $0^{k} \notin L\left(M_{k}\right)$. Since $L\left(M_{k}\right)=L$, it is equivalent to $0^{k} \notin L$. Thus, we have

$$
0^{k} \in L \Leftrightarrow 0^{k} \notin L,
$$

a contradction.

## Proof of Theorem ( $A_{\mathrm{TM}}$ is not decidable)

Assume that $A_{\mathrm{TM}}$ is decidable. Let $T$ be a Turing machine that decides $A_{\mathrm{TM}}$. Define $D$ to be a machine that, on input $w$,

1. Check whether $w$ is a legal encoding of some Turing machine, say $M$. If not, immediately reject $w$.
2. Simulate $T$ on $\langle M,\langle M\rangle\rangle$.
3. If $T$ accepts, then reject; otherwise, accept.

Since $T$ decides $A_{\text {TM }}$ by assumption, $M$ always halts; so does $D$. For every Turing machine $M$,
$D$ accepts $\langle M\rangle \Leftrightarrow M$ does not accept $\langle M\rangle$
With $M=D$, we have
$D$ accepts $\langle D\rangle \Leftrightarrow D$ does not accept $\langle D\rangle$.
This is a contradiction.

